



# Practical Approaches to De-identification of Medical Imaging Data

From Metadata to Pixel-level Protection

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### **Agenda & Learning Objectives**

Topic	Learning Objectives
Introduction	Understand why de-identification is essential
Legal frameworks	Learn key requirements under HIPAA & PHIPEDA
What's in an image?	Distinguish metadata vs pixel content
DICOM basics	Recognize important tags + Supplement 142 rules
Metadata workflows	Learn how to remove/transform PHI in metadata
Pixel workflows	Understand burned-in text removal + defacing
Tools & demos	Compare viewers, GUI tools, and coding options
<b>Quality Control</b>	Intro to essential QC checks + assess re-ID risk
Summary	Apply final tips & identify next steps/resources



## Introduction



#### Introduction

- More imaging research → More image sharing
- Open science = greater need for proper de-identification
- Goal → safely prepare imaging data for reuse & sharing





#### **Purpose of De-identification**

- Protect patients
- Comply with federal/provincial laws
- Enable safe data sharing (e.g., TCPS2 waiver of consent)



# **Legal Frameworks**



### **Legal frameworks**







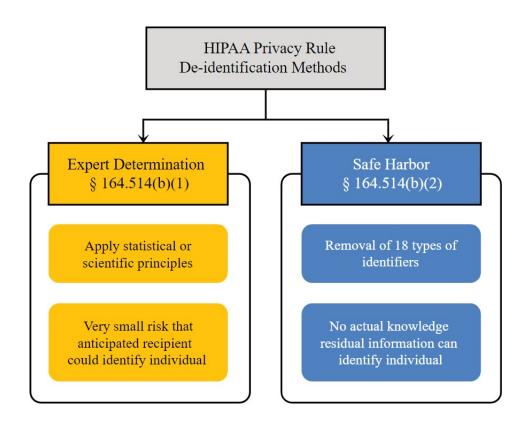






#### **HIPAA Compliance**

- Two options for de-identification
  - Expert determination
  - Safe harbor
- Safe Harbor Method is the most commonly used
  - •List of 18 specific identifiers that must be removed to consider data de-identified





#### **HIPAA Compliance**



1. Name



2. Address



3. Dates



4. Telephone number



5. Fax number



6. Email address



7. Social security number



8. Medical record number



Health beneficiary number



10. Account number



11. Certificate/ license number



12. Vehicle identifiers



13. Device identifiers/ serial numbers



14. Web URLs



15. IP address



16. Biometric identifiers



17. Full face photos



18. Any other unique identifier



#### **PHIPEDA Compliance**

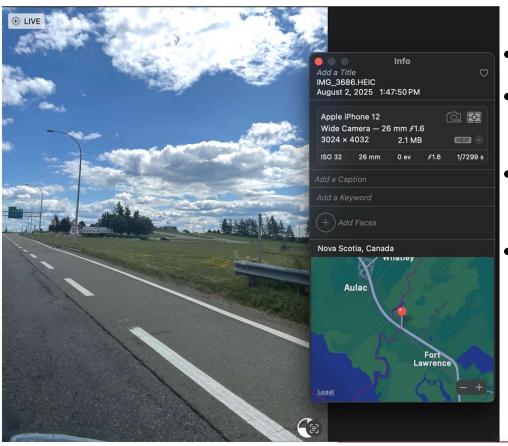
- No fixed list of identifiers
- Remove/transform direct + indirect identifiers
- Ensure very low re-ID risk



# What's in an image?



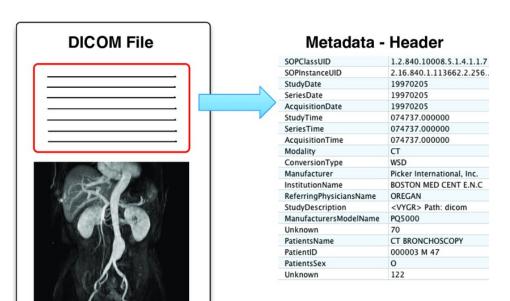
#### What's in an image?



- Pixel data (visible component)
- Meta-data (invisible text component)
- Text component not directly visible but accessible
- Applicable to all image file types (e.g., JPEG, PNG, TIFF, DICOM)







- Standard format for medical imaging
- Combines pixel data and hundreds of header tags in a single file
  - Meta-data (text) component cannot be separated from the image
- Tags contain dates, IDs, UIDs, equipment info
- First published in 1992 (ISO 12052)



- Each DICOM tag is composed of:
  - Tag number: in the format (XXXX,XXXX)
  - Value Representation (VR): describes the data type
  - Value length: defines the length of the attribute's value in bytes
  - Value field: the actual data

Tag	VR	Data	Length	Description
0054,0016	5Q		1	Radiopharmaceutical Information Sequence
>0018,1071	DS	0	1	Radiopharmaceutical Volume
>0054,0300	SQ		1	Radionuclide Code Sequence
>>0008,0100	SH	Tc-99m	6	Code Value
>>0008,0102	SH	99SDM	5	Coding Scheme Designator
0054,0400	SH	32	2	Image ID



- There are over 2,000 defined tags
- New tags added as modalities evolve
- All official tags are listed in DICOM Part 6: Data Dictionary: <a href="https://dicom.nema.org/medical/dicom/current/output/pdf/part06.pdf">https://dicom.nema.org/medical/dicom/current/output/pdf/part06.pdf</a>
- A searchable list of DICOM tags can be found here: <a href="https://www.dicomlibrary.com/dicom/dicom-tags/">https://www.dicomlibrary.com/dicom/dicom-tags/</a>



#### **DICOM Format: Standard & Private Tags**

- Standard = universal & documented
  - E.g.: Dates/Times, UIDs, etc.
- Private = vendor-specific & inconsistent
- May be defined in manufacturer manuals, but not always
- Vendors often store acquisition details inside private tags
  - •E.g.: b-values for diffusion weighted imaging MRI

A sample list of Private Attributes thought to be safe is provided here. Vendors do not guarantee them
to be safe, and do not commit to sending them in any particular software version (including future
products).

Data Element	Private Creator	VR	VM	Meaning
(7053,xx00)	Philips PET Private Group	DS	1	SUV Factor – Multiplying stored pixel values by Rescale Slope then this factor results in SUVbw in g/l
(7053,xx09)	Philips PET Private Group	DS	1	Activity Concentration Factor – Multiplying stored pixel values by Rescale Slope then this factor results in MBq/ml.
(00E1,xx21)	ELSCINT1	DS	1	DLP
(01E1,xx26)	ELSCINT1	CS	1	Phantom Type
(01E1,xx50)	ELSCINT1	DS	1	Acquisition Duration
(01F1,xx01)	ELSCINT1	CS	1	Acquisition Type
(01F1,xx07)	ELSCINT1	DS	1	Table Velocity
(01F1,xx26)	ELSCINT1	DS	1	Pitch
(01F1,xx27)	ELSCINT1	DS	1	Rotation Time
(0019,xx23)	GEMS_ACQU_01	DS	1	Table Speed [mm/rotation]



#### **DICOM Format: Structured Reports**

- Text-based clinical report encoded in DICOM
- May link to images or measurements
- Must be checked for PHI





# De-identification of DICOM data: Meta-data workflows



#### Meta-data De-Identification in DICOM

- Remove or replace obvious tags containing PHI (e.g., Patient Name, Patient ID, Study Date, etc.)
- Some tags contain PHI but are not as obvious researcher's discretion





#### **DICOM Meta-data: Tags to look out for**

- Dates galore there is more than one tag for date!
- Most UIDs other than SOP Class UID
  - > SOP Instance UID (0002, 0003)
  - ➤ Media Storage SOP Instance UID (0008, 0013)
  - > Series Instance UID (0020, 000E)
  - > etc.
- Private tags
- Free-text fields



### **DICOM Meta-data: Tags to look out for**

Field Name	Tag	Content
StudyDate	(0008,0020)	20251104
SeriesDate	(0008,0021)	20251104
StudyTime	(0008,0030)	120323.000000
SeriesTime	(0008,0031)	120632.453999
MediaStorageSOPInstanceUID	(0002,0003)	1.3.12.2.1107.5.2.18.41999.30000 02511041 2545190100000104
SeriesInstanceUID	(0020,000E)	1.3.12.2.1107.5.2.18.41999.30000 <mark>0251104¹</mark> 2545190100000102
Unknown	(0021,1060)	20251104120629



#### **DICOM Meta-data: Tags to look out for**

- DICOM Supplement 142 is an appendix to the DICOM standard
- Letter-code system guidelines to delete, empty, zero out, replace, etc.



X: Remove attribute



**K**: Keep as-is



**U**: Generate new UID ... etc.

Full list here: <a href="https://dicom.nema.org/medical/dicom/current/output/html/part15.html#table\_E.1-1">https://dicom.nema.org/medical/dicom/current/output/html/part15.html#table\_E.1-1</a>
Full DICOM supplement 142 can be found here: <a href="https://www.dicomstandard.org/News-dir/ftsup/docs/sups/sup142.pdf">https://www.dicomstandard.org/News-dir/ftsup/docs/sups/sup142.pdf</a>



### **Summary of DICOM Supplement 142**

Category	What Must Be Removed / Replaced	How
Direct Identifiers	Patient name, IDs, birth date/time, contact info, family info, comments	Remove or replace (Z/D)
People & Places	All staff names/IDs; institution name/address; station/department/AE titles	Remove or replace (X/D)
Dates, Times, UIDs	All dates/times; all Study/Series/SOP/Frame UIDs and referenced UIDs	Remove/shift dates; replace UIDs (U)
Private & Free Text	All private tags; any text fields that can hold identifiers (descriptions, comments, protocol names)	Remove or clean (X/C)
Graphics & Pixels	Overlays/curves/annotations; burned-in text; recognizable facial features	Remove or clean; blackout pixels if needed



# How to De-identify DICOM Data: Three Practical Pathways



1. Using viewing software





2. Using dedicated de-identification tools





3. Programming / Coding libraries





# DICOM De-identification Using Viewing Software



# DICOM De-identification Using Viewing Software



















#### **DICOM De-identification Using HOROS**

1. Import the image file or folder under *Import* 



2. Hit Anonymize and select the relevant DICOM tags in the pop-up window



#### Pop up window:



- "Select a DICOM tag" has a drop-down function & allows user to customize which tags to remove (+)
- Export saves the de-identified study in a new folder



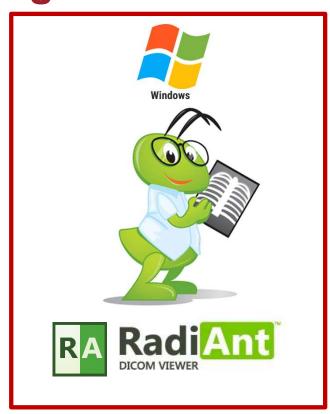


### **DICOM De-identification Using HOROS**



# DICOM De-identification Using Viewing Software















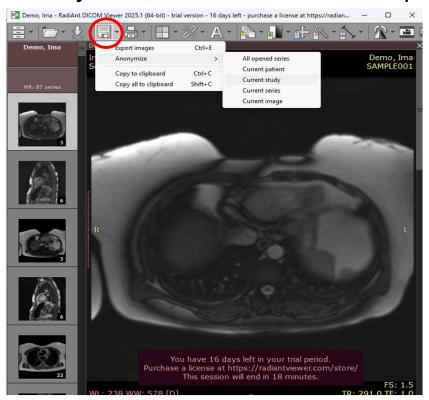


#### **DICOM De-identification Using RadiAnt**

1. Import the image file or folder under *Import* 



2. Under *Save* drop-down arrow, navigate to *Anonymize*, and select relevant option





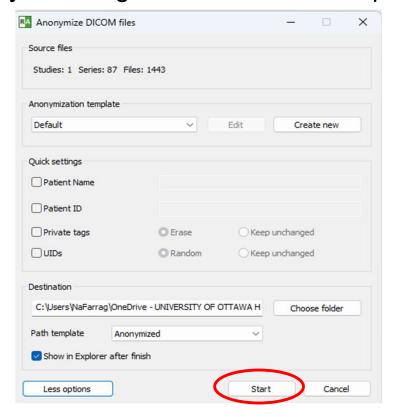


#### **DICOM De-identification Using RadiAnt**

3. In the pop-up, choose Start to de-identify the image under the default options OR

hit More options to customize

Source files		
Studies: 1 Series: 87 Files: 1443		
More options	Start	Cancel





# DICOM De-identification Using Viewing Software

#### Pros



User control



GUI based - no coding required



Good for small datasets



Good for demos / education



Easy to catch odd tags

#### Cons



Human error



Slow, not scalable



No error logs



DICOM structure learning cuve



Limited ability for pixel removal



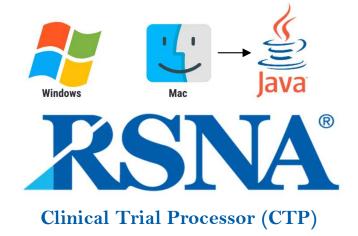
# Dedicated DICOM De-identification Tools – download online, use in a graphical user interface (GUI)



#### **Dedicated DICOM De-Identification Tools**













# DICOM Deidentification on PixelMed DICOMCleaner

- 1. Click this link for full installation directions: <u>How to use DicomCleaner™</u>
- 2. Scroll to How to install it (locally) & choose the appropriate option

#### How to install it (locally)

If for some reason you do not want to start the application using Java Web Start, but instead want to download it and install it, several versions are available:

- Windows executable that does not require Java to be installed [approx. 45 MB] (includes its own JRE, internationalized fonts, and JIIO libraries)
- Windows executable that requires Java 1.7 or later to already be installed [approx. 3.9 MB] (includes its own JIIO libraries, since these are often not installed)
- MacOS executable that requires Java 1.7 or later to already be installed [approx. 2.1 MB] (includes pure Java JIIO libraries for limited decompression support)

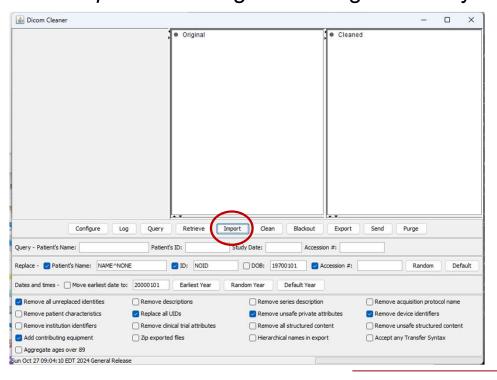
#### Direct links if needed:

https://www.dclunie.com/pixelmed/software/webstart/DicomCleaner.html (Windows) https://www.osirix-ukusergroup.org/dicom-cleaner (Mac\*)

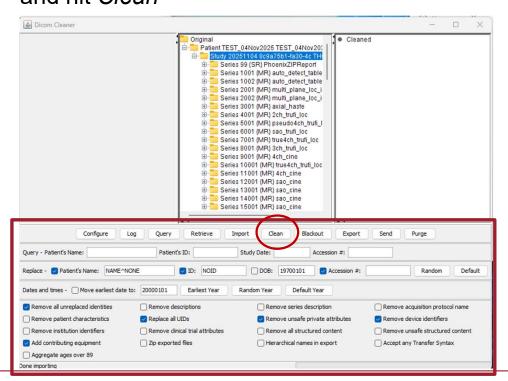


## DICOM Deidentification on PixelMed DICOMCleaner

3. Hit *Import* and navigate to image directory



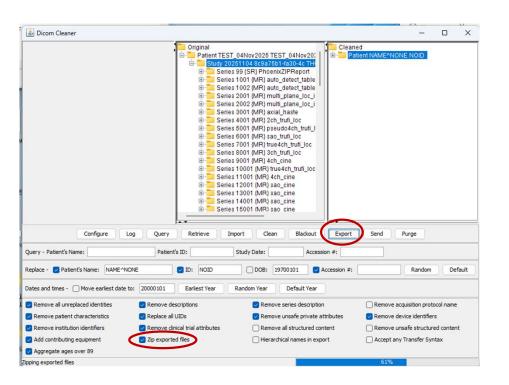
4. Select tags to deidentify in the control panel and hit *Clean* 



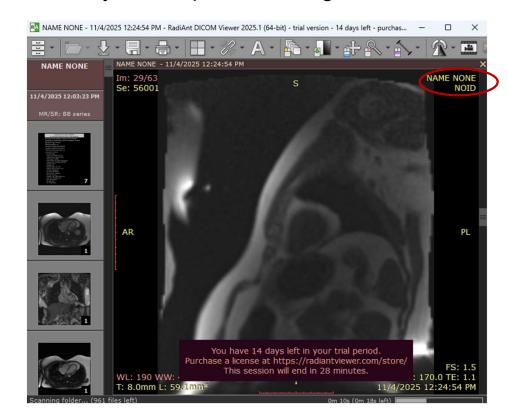


## DICOM Deidentification on PixelMed DICOMCleaner

4. Decide if you want to compress the exported files (checkbox in control panel) and hit *Export* 



5. Verify the output in viewing software



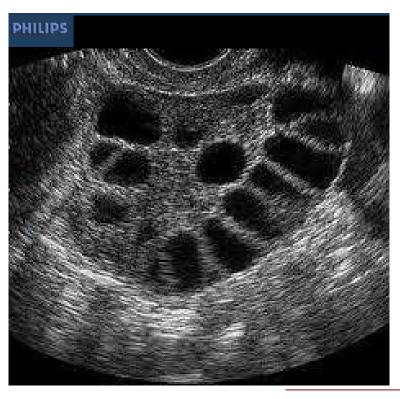


# De-identification of DICOM data: Pixel-level workflows



### Pixel-level de-identification of DICOM

### Black out PHI



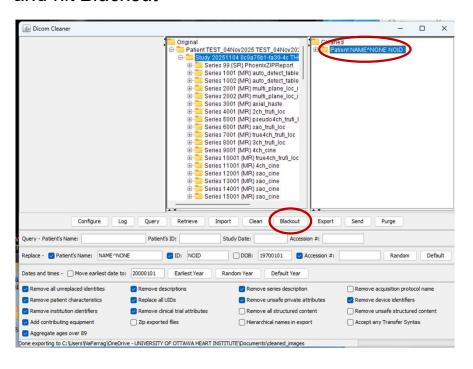
### Crop out PHI



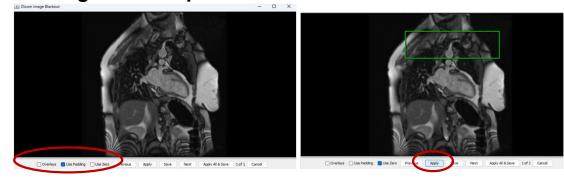


## Black out pixels on PixelMed DICOMCleaner

1. After meta-data deidentification (Step 4 previous slide), under "Cleaned" panel, select your study and hit *Blackout* 

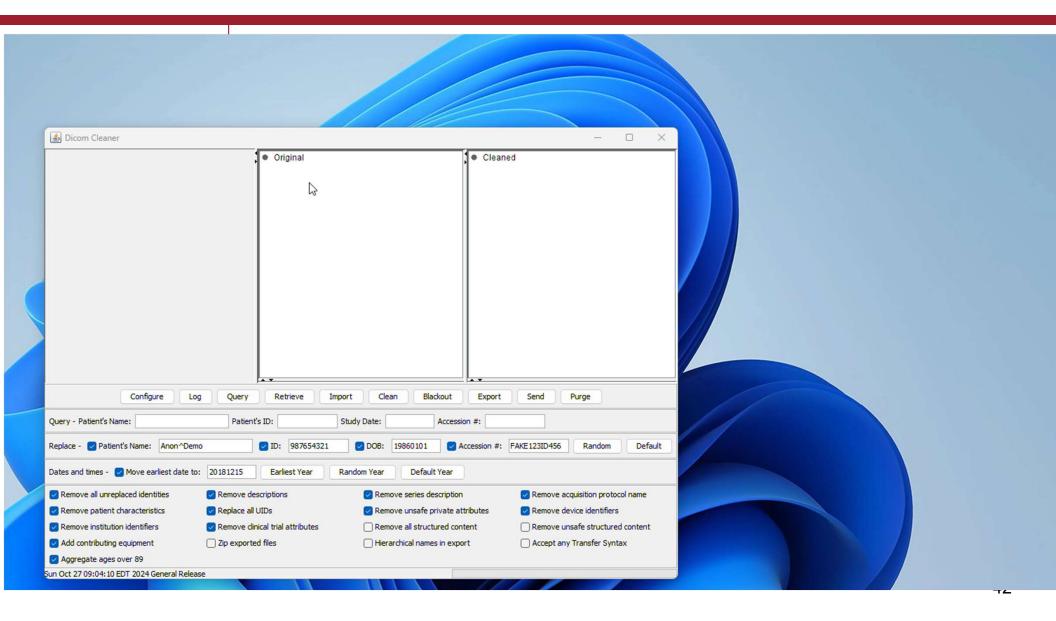


2. In the pop-up window, choose options (padding / zeros) Use **Right mouse pointer** to select the area for blackout.

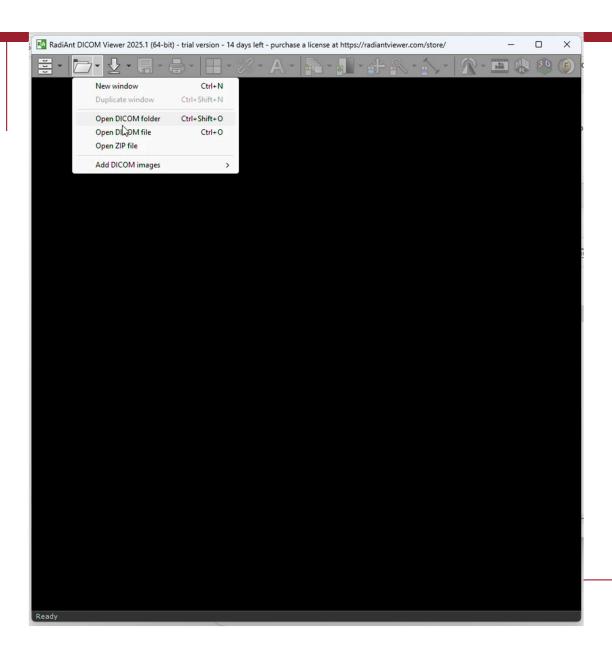


- 3. After selecting the region for blackout, hit Apply
- 4. Be sure to hit *Save*. If working with multi-slice images requiring the same blackout box, hit *Apply all & Save*

The blacked-out versions stay associated with the entries in the Cleaned panel – pixel PHI is now covered and images are ready to be exported

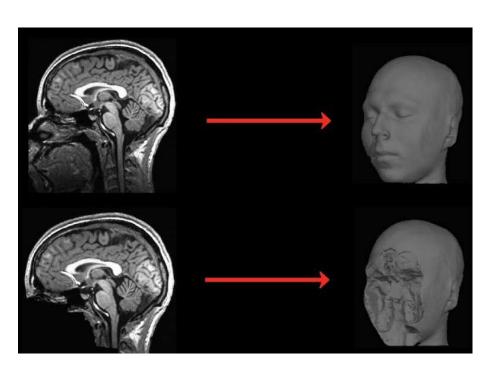








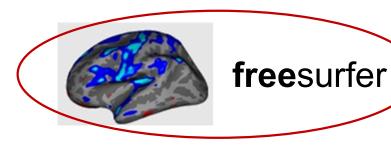
# Pixel-level de-identification of DICOM: Defacing



- Removes identifiable facial anatomy
- Can be achieved using algorithmic or Al-based tools
- Some GUIs available to mask pixels, but real defacing demands code-based tools that operate on the 3D anatomy → you will need to use some form of coding/programming



# Pixel-level de-identification of DICOM: Defacing





+ BRAINSdeface extension\*

\*not stable on newer version of slicer



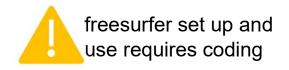
**MRIcroGL** 







## **Defacing using Freesurfer**

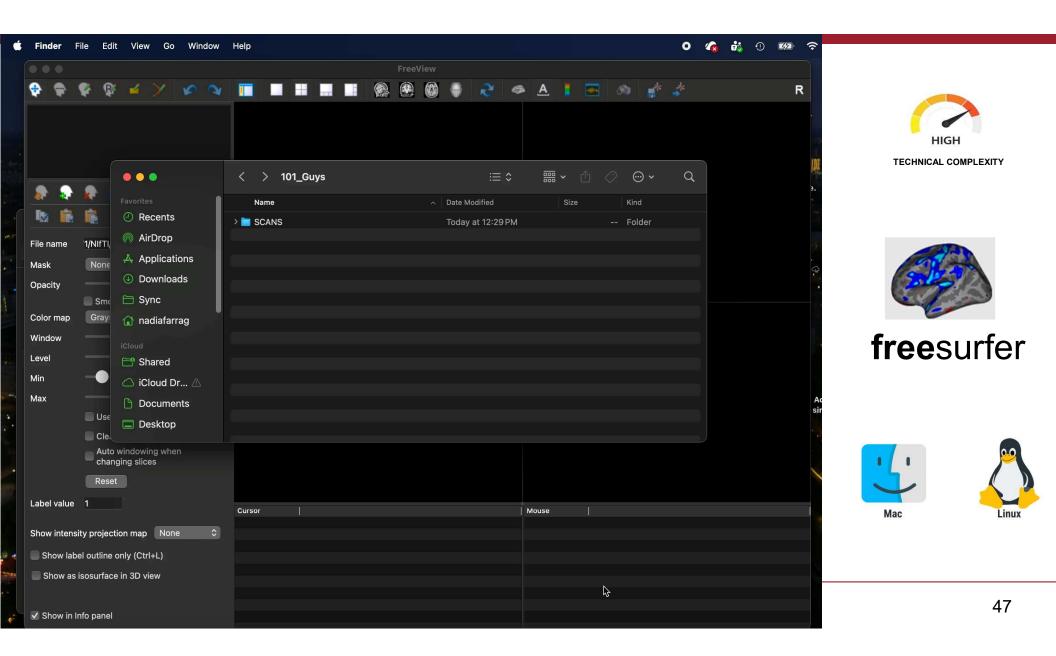


- 1. Download freesurfer here: <a href="https://surfer.nmr.mgh.harvard.edu/fswiki/DownloadAndInstall">https://surfer.nmr.mgh.harvard.edu/fswiki/DownloadAndInstall</a>
- 2. Follow instructions to obtain a free license key here: <a href="https://surfer.nmr.mgh.harvard.edu/registration.html">https://surfer.nmr.mgh.harvard.edu/registration.html</a>
- 3. Initialize freesurfer in command line terminal:

```
export FREESURFER_HOME=/Applications/freesurfer/8.1.0
source $FREESURFER_HOME/SetUpFreeSurfer.sh
```

4. Run the defacing command:

```
mri_deface \
  /path/to/input_image.nii.gz \
  $FREESURFER_HOME/average/talairach_mixed_with_skull.gca \
  $FREESURFER_HOME/average/face.gca \
  /path/to/output_defaced.nii.gz
```





## Deidentification of DICOM data using Dedicated Tools

#### Pros



**Built-in tools** 



Supports some batch processing



Reduced operator error



GUI-based - no coding



Follows DICOM Sup142 standards

#### Cons



Functions vary by software



May require prior configuration



Manual – user must "hit go"



Outdated interfaces



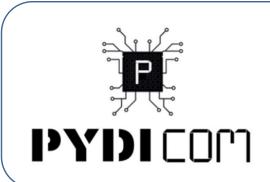
Limited with private tags



# De-identification of DICOM data using programming / coding libraries



# Deidentification of DICOM data using programming / coding libraries









mri\_deface pydeface pyfacewipe















# Deidentification of DICOM data using programming / coding libraries

#### Pros



Fast and scalable



Automated and reproducible



Easy batch processing



Consistent results



Less operator error

### Cons



Small mistakes scale fast



Learning curve



Multiple libraries/tools required



**Environment conflicts** 



Susceptible to bugs and errors

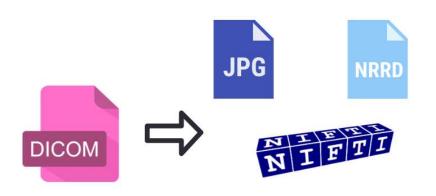


# General Recommendations & Quality Control



## General Recommendations for Deidentification of Medical Images

- Coding workflows scale best for large datasets.
  - If new to coding, online support is available; look into institutional support
- Sharing large datasets: convert from DICOM to alternate
- Conversion is helpful, but not a replacement for de-identification





### **Quality Control of De-identification**



Verify PHI removed from meta-data **and** pixel data





Export meta-data to tabular format for easier checks



Use OCR to check for burned-in text



Manual review still required



### Risk of Re-identification

- Meta-data risk measurable with k-anonymity type models
- Pixel risk → recognition tests (human or AI)
- OCR and/or ML failure rate can be used to measure residual risk





## **Summary**



### **Summary and Final Tips**

- De-identification in medical imaging = metadata + pixel considerations
- GUI for demos and/or small datasets; coding scales
- Choose the simplest tool that meets your dataset's needs
- Always verify outputs visually + via metadata inspection
- Protect privacy while keeping data scientifically useful



### References & Resources

- DICOM Standard: <a href="https://dicom.nema.org">https://dicom.nema.org</a>
- HIPAA Privacy Rule: <a href="https://www.hhs.gov/hipaa/for-professionals/special-topics/de-identification/index.html">https://www.hhs.gov/hipaa/for-professionals/special-topics/de-identification/index.html</a>
- PIPEDA: <a href="https://www.priv.gc.ca/en/privacy-topics/privacy-laws-in-canada/the-personal-information-protection-and-electronic-documents-act-pipeda/">https://www.priv.gc.ca/en/privacy-topics/privacy-laws-in-canada/the-personal-information-protection-and-electronic-documents-act-pipeda/</a>
- HOROS Viewer: <a href="https://horosproject.org">https://horosproject.org</a>
- RadiAnt Viewer: https://www.radiantviewer.com/
- RSNA CLP: https://wiki.rsna.org/display/CONDET/CTP
- PixelMed DICOMCleaner: <u>https://www.dclunie.com/pixelmed/software/webstart/DicomCleanerUsage.html</u>
- Pydicom: <a href="https://pydicom.github.io">https://pydicom.github.io</a>
- Dicognito: <a href="https://github.com/pydicom/dicognito">https://github.com/pydicom/dicognito</a>
- Python deid: https://github.com/pydicom/deid





Thank you for your attention **Questions** are welcome